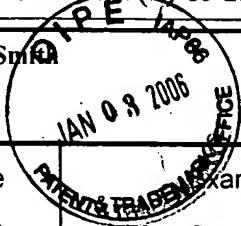


*IFW*

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
 1370.002A

In Re Application Of: **Walter J. Smith**



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/805,084	03/19/2004	Yao, Samchuan Cua	23405	1733	7707

Title: **TURBINE BRUSH SEAL**

Address to:

**Commissioner for Patents**  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
1370.002A

In Re Application Of: **Walter J. Smith**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/805,084	03/19/2004	Yao, Samchuan Cua	23405	1733	7707

Inventor: **TURBINE BRUSH SEAL**



**COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

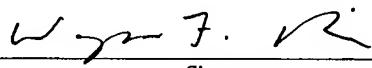
Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



*Signature*

Dated: **12/30/05**

**Wayne F. Reinke, Esq.**  
Registration No. 36,650  
Heslin Rothenberg Farley & Mesiti P.C.  
5 Columbia Circle  
Albany, New York 12203-5160

Telephone: 518-452-5600  
Facsimile: 518-452-5579

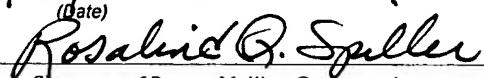
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I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

**12/30/2005**

*(Date)*



*Signature of Person Mailing Correspondence*

**Rosalind Q. Spiller**

**Typed or Printed Name of Person Mailing Correspondence**

**SUPPLEMENTAL  
INFORMATION DISCLOSURE CITATION**  
(USE SEVERAL SHEETS IF NECESSARY)

ATTY DOCKET NO. 1370.002A	SERIAL NO. 10/805,084
APPLICANT(S) <b>WALTER J. SMITH</b>	
FILING DATE 03/19/2004	GROUP 1733

JAN 03 2005  
USPTO - TRADEMARK OFFICE

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	6,699,555 B2	03/02/2004	DiChiara, Jr.	428	60	
AB	6,601,853 B2	08/05/2003	Inoue	277	355	
AC	6,217,277 B1	04/17/2001	Liu et al.	415	9	
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AH	4,311,113	01/19/1982	Jordan	118	629	
AI	3,935,370	01/27/1976	Marti	428	394	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
							NO	YES	ABSTRACT
	BA	DE 19717931 C1	10/22/1998	Germany	F28F	021/04			✓

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER	DATE CONSIDERED
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EXAMINER: Initial here if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.